

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Larry T. HARADA et al. Art Unit : 2132
Serial No. : 09/323,415 Examiner : A. Nobahar
Filed : June 1, 1999
Title : SECURE DATA EXCHANGE BETWEEN DATA PROCESSING SYSTEMS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR INITIALED PTO FORM 1449

Upon reviewing the file, applicants noted that they have not received an initialed copy of the enclosed PTO Form 1449 that accompanied an information disclosure statement filed February 28, 2006.

Applicants' records show that this information disclosure statement complied with 37 CFR 1.97. Thus, we respectfully request that the examiner initial and return this form as soon as possible.

Respectfully submitted,

Date: _____

6/6/06



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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06975-041001	Application No. 09/323,415
Information Disclosure Statement by Applicant (Use several sheets if necessary)		Applicant Larry T. HARADA et al.	
		Filing Date June 1, 1999	Group Art Unit 2132
(37 CFR §1.98(b))			

U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AJ							
	AK							
	AL							
	AM							
	AN							

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AO	Japanese Office Action issued on November 29, 2005 for JP2001-500930, 3 pages. Translation of the Japanese Office Action, 2 pages.
	AP	Kamiba et al., "User Profile Management Agent", Information Processing Institute Study Report (97-HI-70), Vol. 97, No. 2, 1997.1.16, page. 1-8. Partial translation of publication, 3 pages.
	AQ	"Recent Application Server Situations", ASCII NT, Vol. 4, No. 3, 1999.3.1, pages 225-229. Partial translation of publication, 2 pages.
	AR	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	